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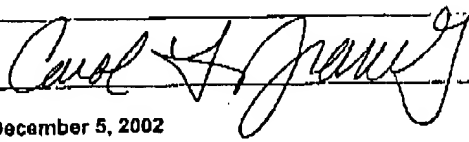



PTO/SB/21 (08-00)

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<h2 style="text-align: center;">TRANSMITTAL FORM</h2> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>		Application Number	09/771,263	
		Filing Date	January 26, 2001	
		First Named Inventor	THOMPSON, JAMES	
		Group Art Unit	1642	
		Examiner Name	YAEN, CHRISTOPHER H.	
Total Number of Pages in This Submission		19	Attorney Docket Number	IRVN-005CIP
<b>ENCLOSURES (check all that apply)</b>				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input checked="" type="checkbox"/> Red Marked copy of the Filing Receipt <input checked="" type="checkbox"/> Supplemental Application Data Sheet <input type="checkbox"/> Supplemental Application Data Sheet <input type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard		
Remarks				
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>				
Firm or Individual Name	CAROL L. FRANCIS, Reg. No. 36,513			
Signature				
Date	December 5, 2002			

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: December 5, 2002.		
Typed or printed name	Steven V. Goldstein	Date
Signature		December 5, 2002

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**FACSIMILE TRANSMITTAL****BOZICEVIC, FIELD & FRANCIS LLP****200 Middlefield Road, Suite 200****Menlo Park, CA 94025****Telephone: (650)327-3400****Facsimile Number: (650)327-3231****FORMAL COMMUNICATION**

Date: March 11, 2003

To: Examiner Christopher H. Yaen  
United States Patent and Trademark Office

Facsimile No. (703) 746-7646

From: Carol L. Francis (Reg. No. 36,513)

Re: U.S. Application Serial No. 09/771,263  
Inventors: James Thompson  
Our Reference: IRVN-005CIP

Message: Examiner Yaen:

Please see the attached filing involved with the Response to Office Action dated June 5, 2002.

I have also included a copy of the return receipt postcard.  
Please let me know if I can be of further assistance.  
Carol Francis can be reached at 650-833-7713.

Thank you!

**Total number of pages, including this cover sheet: 21**

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Please contact Steven Goldstein at (650) 327-3400 if you have any problems receiving this transmission.

Atty/Sec: CLF/SFG

Atty Docket No. IRVN-005CIP

Application No.: 09/771,263

Date Mailed: December 5, 2002

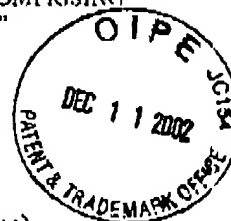
Filing Date: January 26, 2001

Inventor(s): Gale Arthur Granger

Title: "TREATING TUMORS USING IMPLANTS COMPRISING  
COMBINATIONS OF ALLOGENEIC CELLS"

Enclosure(s): ✓

- ❖ Transmittal (1 Page)
- ❖ Fee Transmittal in Dup. (2 Pages)
- ❖ Petition for Extension of Time (1 page)
- ❖ Amendment (11 pages)
- ❖ Request for Corrected Filing Receipt (1 Page)
- ❖ Red Line of Filing Receipt (1 Page)
- ❖ Supplemental Application data Sheet (2 Pages)
- ❖ Return Postcard



Acknowledge Receipt of enclosures  
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Atty/Sec: CLF/SFG

Atty Docket No. IRVN-003CIP

Application No.: 09/771,263

Date Mailed: December 5, 2002

Filing Date: January 26, 2001

Inventor(s): Gale Arthur Granger

Title: "TREATING TUMORS USING IMPLANTS COMPRISING  
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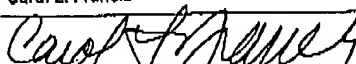
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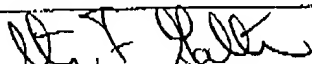
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<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 50-0815 Deposit Account Name Bozicovic, Field &amp; Francis LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: 0.8em;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examination action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>210</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>480</td><td>Extension for reply within third month</td><td>460</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,060</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>100</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Carol L. Francis	Registration No. (Attorney/Agent)	38,513	Telephone	(650) 327-3400
Signature		Date	12/05/2002		

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Atty Dkt. No. IRVN-005CIP  
 USSN: 09/771,263

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name:	Steven F. Goldstein		
Signature		Date	December 5, 2002
<b>REQUEST FOR            CORRECTED FILING            RECEIPT</b>  Address to: Office of Initial Patent Examination Commissioner for Patents Washington, D.C. 20231		Attorney Docket	IRVN-005CIP
		Applicant	Gale Arthur Granger
		Serial Number	09/771,263
		Filing Date	January 26, 2001
		Group Art Unit	1642
		Examiner Name	Christopher Yaen
		Title:	"TREATING TUMORS USING IMPLANTS COMPRISING COMBINATIONS OF ALLOGENEIC CELLS"

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the order of the "Applicants" to the following order:  
 Gale Arthur Granger, Laguna Beach, California;  
 John C. Hiserodt, Huntington Beach, California; and  
 James Thompson, Ladera Ranch, California.
- (2) A marked-up filing receipt showing the requested corrections is attached showing correct order of inventors and a Supplemental Application Data Sheet is also included showing the correct order of the inventors.

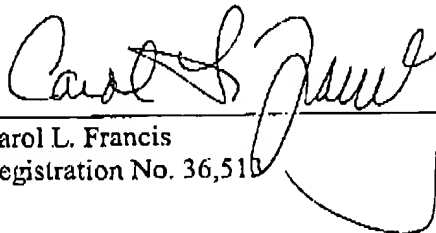
If for any reason a fee is found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815.

Respectfully submitted,  
 BOZICEVIC, FIELD & FRANCIS LLP

Date:

Dec 5, 2002

By:

  
 Carol L. Francis  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	INO CLAIMS
09/771,263	01/26/2001	1642	409	IRVN-005CIP	7	24	1

CONFIRMATION NO. 7988

CORRECTED FILING RECEIPT



\*0000000000161834\*

 Carol L. Francis  
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 Menlo Park, CA 94025

Date Mailed: 05/21/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~James Thompson, Ladera Ranch, CA;~~ Gale Arthur Granger, Laguna Beach, CA.  
~~John C. Hiserodt, Huntington Beach, CA;~~ John C. Hiserodt, Huntington Beach, CA.  
~~Gale Arthur Granger, Laguna Beach, CA;~~ James Thompson, Ladera Ranch, CA.

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/169,561 10/09/1998 PAT 6,203,787  
 WHICH CLAIMS BENEFIT OF 60/061,766 10/10/1997  
 AND IS A CIP OF 08/948,939 10/10/1997 PAT 6,207,147  
 WHICH CLAIMS BENEFIT OF 60/028,548 10/11/1996  
 AND IS A CIP OF 08/632,753 04/16/1996 ABN  
 WHICH IS A CON OF 08/406,388 03/17/1995 ABN  
 AND IS A CIP OF 09/169,345 10/09/1998 PAT 6,368,593  
 WHICH CLAIMS BENEFIT OF 60/061,662 10/10/1997

## Foreign Applications

If Required, Foreign Filing License Granted 03/19/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

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MAY 28 2002

Bozicevic, Field &amp; Francis

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